

**LA FOLLETTE GODFREY & KAHN**  
ATTORNEYS AT LAW  
P.O. BOX 2719  
MADISON, WI 53701-2719  
[www.gklaw.com](http://www.gklaw.com)

**RECEIVED**  
**CENTRAL FAX CENTER**  
**NOV 19 2004**

PHONE: 608-284-2613

FAX: 608-257-0609

**FAX COVER SHEET****DATE:** November 19, 2004**PAGES (INCLUDING COVER):** 5

---

**TO:** Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

FAX: 703-872-9306

---

**FROM:** Sonali S. Srivastava  
Reg. No. 52,248



Please see attached Transmittal Form for the following Patent:

Applicant: Rohit Srivastava  
Application No.: 10/711,703  
For: VALVE IMPLANTING DEVICE  
Filed: September 30, 2004  
Group Art Unit: 3738  
Examiner: Unknown  
Attorney Docket No.: 060493-0001

MN223606\_1.DOC

**IF YOU HAVE A PROBLEM RECEIVING THIS TRANSMISSION, PLEASE CALL US AS SOON AS POSSIBLE AT 608-257-3911.**

**THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE.** This message may be an attorney-client communication, and as such is privileged and confidential. If the reader of this message is not the intended recipient or an agent responsible for delivering it to the intended recipient, you are hereby notified that you have received this document in error, and that any review, dissemination, distribution or copying of this message is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us by mail. Thank you.

LaFollette Godfrey & Kahn is an office of Godfrey & Kahn, S.C.

PTO-SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

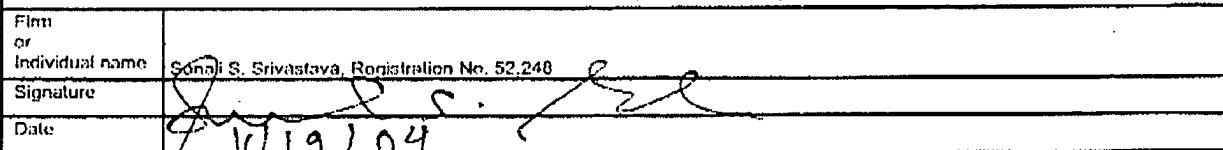
Total Number of Pages in This Submission 4

Application Number	10/711,703
Filing Date	9/30/2004
First Named Inventor	Ronit Srivastava
Art. Unit	373R
Examiner Name	Unknown
Attorney Docket Number	000493-0001

**ENCLOSURES (Check all that apply)**

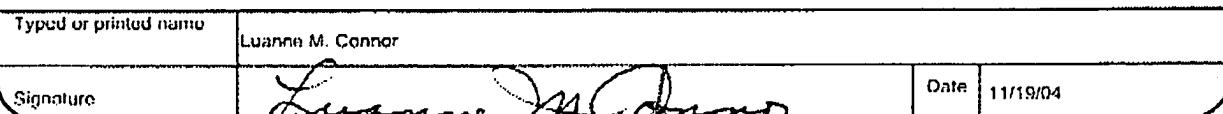
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Alter Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input checked="" type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below).
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Remarks _____	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Sonali S. Srivastava, Registration No. 52,248
Signature	
Date	11/19/04

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Luann M. Connor
Signature	
Date	11/19/04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

060493-0001

Application No. 10/711,703

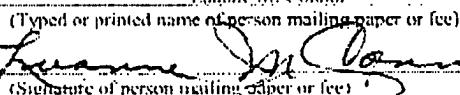
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Rohit Srivastava  
 Application No.: 10/711,703  
 For: VALVE IMPLANTING DEVICE  
 Filed: September 30, 2004  
 Group Art Unit: 3738  
 Examiner: Unknown  
 Attorney Docket No.: 060493-0001

RECEIVED  
 CENTRAL FAX CENTER  
 NOV 1 9 2004

MAIL STOP AMENDMENT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 CFR 1.8  
 I hereby certify that this correspondence is being facsimile transmitted or  
 deposited with the United States Postal Service with sufficient postage as first  
 class mail in an envelope addressed to Mail Stop Amendment, Commissioner  
 for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on  
 11/19/04.

Lorraine M. Connor  
 (Typed or printed name of person mailing paper or fee)  
  
 (Signature of person mailing paper or fee)

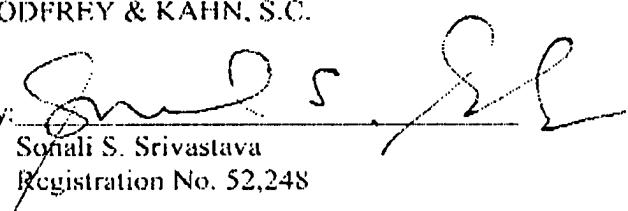
## PRELIMINARY AMENDMENT

Dear Sir:

In accordance with 37 C.F.R. § 1.52, Applicant submits this Preliminary Amendment. In the application, which was filed electronically, the Application Data Sheet mistakenly indicated the citizenship of the Inventor as United States of America. Please change the citizenship of Rohit Srivastava to citizen of India. Applicant also submits a Declaration reflecting this change.

Respectfully Submitted,

GODFREY &amp; KAHN, S.C.

Dated: November 19, 2004  
 By:   
 Sofali S. Srivastava  
 Registration No. 52,248

Attorney of Record for the Applicant  
 GODFREY & KAHN, S.C.  
 780 North Water Street  
 Milwaukee, WI 53202  
 (414) 273-3500

MN223583\_1.DOC